

IAP13 Rec'd PCT/PTO 22 NOV 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No: Q77832

Hideki OHATA, et al.

Appln. No.: 10/573,415

Group Art Unit: Not Yet Assigned

Confirmation No.: 2945

Examiner: Not Yet Assigned

Filed: March 27, 2006

For: CONJUGATED COPOLYMER, PRODUCTION METHOD THEREOF,

AND

CAPACITOR USING THE COPOLYMER

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," for the above application, Applicant respectfully submits copies of the Submission of Executed Declaration and Declaration as previously filed on November 20, 2006. The surcharge fee of \$130.00 for late submission of the executed Declaration was also paid on November 20, 2006. Additionally, a copy of the date-stamped filing receipt of November 20, 2006 is attached.

Additionally, in response to the request for a sequence listing, Applicant states that there are no nucleotide or amino acid sequences disclosed in this application, and thus no sequence listing is necessary.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted, by ayr Bruce & Kne / Re. No. 33,276

Bruce E. Kramer

Registration No. 33,725

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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washington office

CUSTOMER NUMBER

Date: November 22, 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77832

Hideki OHATA, et al.

Appln. No.: 10/573,415

Group Art Unit: unknown

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Examiner: unknown

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CONJUGATED COPOLYMER, PRODUCTION METHOD THEREOF, AND CAPACITOR

USING THE COPOLYMER

SUBMISSION OF EXECUTED DECLARATION

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In view of the application filed on March 27, 2006 without the appropriate executed documents, and since Applicant's attorney has not yet received the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," for the above application, Applicant submits herewith a copy of the Declaration for the above identified application properly executed by the inventors.

A check for the statutory fee of \$130.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 33,725

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 20, 2006

86

FILING RECEIPT PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of

Hideki OHATA, et al.

Appln. No.: 10/573,415

Confirmation No.: 2945

Filed: March 27, 2006

Group Art Unit: unknown

Examiner: unknown

For:

CONJUGATED COPOLYMER, PRODUCTION METHOD THEREOF, AND CAPACITOR

USING THE COPOLYMER

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration (in duplicate) with a copy of the executed Declaration and Power of Attorney (4 pages), and a check in the amount of \$130.00

2. Copy of an executed Assignment (2 pages) with PTO Form 1595 and a check in the amount of \$40.00

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373
CUSTOMER NUMBER

DOCKET NO.: Q77832

ATTORNEY/SEC: Bruce E. Kramer/tnt

Date Filed: November 20, 2006



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1459 Adazmaria, Vinginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/573,415 Hideki Ohata Q77832

INTERNATIONAL APPLICATION NO.

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037 SEP 2 6 2006

PCT/JP04/14444

LA FILING DATE PRIORITY DATE
09/24/2004 09/25/2003

CONFIRMATION NO. 2945
371 FORMALITIES LETTER

OC000000020535218

Date Mailed: 09/22/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/27/2006
 - Copy of the International Search Report filed on 03/27/2006
 - Preliminary Amendments filed on 03/27/2006
 - Information Disclosure Statements filed on 03/27/2006
 - Request for Immediate Examination filed on 03/27/2006
 - U.S. Basic National Fees filed on 03/27/2006
 - Priority Documents filed on 03/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
 missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/573,415 | PCT/JP04/14444 | Q77832 |

FORM PCT/DO/EO/905 (371 Formalities Notice)